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 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/846,891	05/01/2001	Louis Charbonneau	10714-0003-999

CONFIRMATION NO. 5898

20583
 PENNIE AND EDMONDS
 1155 AVENUE OF THE AMERICAS
 NEW YORK, NY 100362711

FORMALITIES LETTER



OC000000006234311

Date Mailed: 06/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/30/2001 SMINASS1 00000016 161150 09846891
 01 FC:201 355.00 CH
 FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Thain
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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EXPRESS MAIL NO.: EL 501 641 358 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Charbonneau et al.

Serial No.: 09/846,891

Group Art Unit: 2122

Filed: May 1, 2001

Examiner: TBA

For: SYSTEM AND METHOD FOR
VALUATION OF COMPANIES

Attorney Docket No.: 10714-003

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application dated June 27, 2001, applicant submits herewith an executed Declaration, substitute drawings in compliance with 37 CFR 1.84, and a Verified Statement Claiming Small Entity Status for the above-identified application.

Pursuant to 37 CFR 1.16(e), the fee required for this submission is \$65.00. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

 (Reg. No. 38,724)

Date: 8/27/01

for Brian D. Coggio 22,411
Brian D. Coggio (Reg. No.)

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Enclosures